Task 2.3

Title

Environmental impacts of future operating conditions

Projects (presented on the following pages)

Hydro-economic Consequences of Hydro-peaking Removal *Alternative: Work Package 5* L. E. Adams, P. Meier, J. Lund

Disentangling the effects of hydrology and predation on macroinvertebrate community assembly: a field experiment P. Chanut, F. J. Burdon, T. Datry, C. T. Robinson

Evolution of a gravel-bed river subject to SBT operations M. Facchini, A. Siviglia, R. M. Boes

Streams impacted by hydropower production through water intakes: do we need sediment flows more than minimum flows? C. Gabbud, C. Robinson, S. Lane

Impacts of altered pumped-storage operation on water quality U. G. Kobler, M. Schmid

Trading off energy production from small hydropower with biodiversity conservation K. Lange, P. Meier, C. Trautwein, M. Schmid, C. T. Robinson, C. Weber, J. Brodersen

System modelling for hydro-peaking mitigation P. Meier, M. Bieri, P. Manso, F. Zeimetz, C. Gerber, A. Mark, S. Schweizer, A. Fankhauser, B. Schwegler

Modeling macroroughness contribution to riverine ecosystem A. Niayifar, P. Perona, J. Oldroyd, S. N. Lance, T. J. Battin

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Hydro-economic Consequences of Hydro-peaking Removal

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1. Introduction

- The Swiss Water Protection Act requires that Swiss hydropower plants must mitigate any serious environmental harms of hydroelectricity by 2030 (e.g., remove hydro-peaking, or sub-daily discharge variability from peak electricity production).
- Building an after-bay or re-operating the hydropower plant system are primary options for attenuating hydro-peaking.
- Our goal is to develop a method for comparing the financial and ecological tradeoffs and required operational changes for choosing different after-bay sizes for removing hydro-peaking.

2. Methods

Two-stage linear programming maximizes system flexibility for each of several planned after-bay sizes and maximizes operational benefits for the operational changes required to compensate for any hydro-peaking not managed by the after-bay.

$$\min_{x}(z) =$$

$$\sum_{n} \sum_{T} \sum_{t} \left(\frac{x_T - V_T^{target}}{V_T^{max}} \right)^2 + f^-(J, t) f^{lowflow} (J, t) + f^+(J, t) - \frac{B_n(C, e_x, h)}{B_n(C, e_x, h)}$$

- x = outflow to river from each n (m^3/s) ;
- t = operational time step (e.g., 15 *min*) T = planning time step (e.g., 30 or 45 *min*)
- V = water volume in after-bay (m^3) ;
- J = ramping rate (positive and negative) (m^3/min) ; target = time required between $\int_{t_eempty}^{t_full} V(min)$;
- B = benefits $(\in / (kNm/min))$
- C = turbine flow capacity (kN m / min)
- h = hydraulic head(m)e = possible energy production (min)

3. Case Study

The Kraftwerke Oberhasli hydropower system releases to the Aare River in Canton Bern after generating electricity along a cascade of reservoirs and plants power from Innertkirchen 1, the most downstream power house, and Innertkirchen 2, the last of several run-of-river power plants. For KWO and others, the goal of hydropeaking is to smooth ramping rates at least cost.





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= electricity production (kN); P = electricity price (\in/min);

Operational benefits minimize revenue losses.

- Q = inflow (m^3/min) ; y= specific weight of water (kN/m^3) ;
- ε = generation efficiency (%); N = time at full capacity (min) Model Formulation references: Pereira and Pinto (1985), Olivares (2008), and Loucks (1983)

3. Operational Benefits

 $\underset{(n,T,t)}{\mathbf{B}} = E \sum_{t}^{t} \overline{P(e_x)}_{t} =$

 $\gamma(\varepsilon h)_n N_{n,T} \frac{\left\| (Q-V)_{n,T} \right\|}{C_n} \Delta t \sum_{t=1}^T P_{t,T} (\frac{(Q/C)_n}{\Delta T} * 100)_t$

4. Preliminary Results

Releases and revenue losses from hydropower re-operation with no afterbay (baseline conditions).



Right: Revenue Gains and Losses from Reions to avoid hydro-pea

Operational changes require production during off-peak hours, which on net results in revenue losses equivalent to about 10.6% of average winter revenues, the season in which hydro-peaking is most notable.

5. Future Work

Future work will compare revenue losses from meeting hydro-peaking requirements for different size after-bays with 30- and 40- minute lead time for operations decisions made at 15-minute intervals. Expected final results will form a Pareto Front like this:



Disentangling the effects of hydrology and predation on macroinvertebrate community assembly: a field experiment

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1. Objectives

- Assessing the effect of floodplain hydrology (connectivity) on aquatic habitat characteristics
- Jointly quantifying the relative effects of floodplain hydrology (connectivity) and fish predation on macroinvertebrate community composition
- Identifying the key ecological processes at play
- Assessing how community assembly rules vary with hydrology (connectivity) and fish predation

2. Experimental setup and analyses

We excavated 24 ponds (~ 9 m³) in a gravel bar, with homogeneous substrate and distributed them in 8 spatial blocks along a hydrological gradient Within each block, we assigned a juvenile brown trout treatment: 0 or 2 or 6 fish We sampled every 15 days for 2 months (invertebrates, periphyton, phys-chem)

Analyses:

- Effects on Community composition: forward selection & dbRDA
- Effects on biological traits (Tachet): RLQ + Fourth-corner analysis
- Investigation of assembly rules: Functional diversity (Null model deviation)



3. Results

3.1 Hydrological gradient PC1 is structured by alkalinity, conductivity, chlorophyll a and water temperature.

High alkalinity and conductivity results from high concentration of dissolved cations, reflecting longer interaction time between water and rock.

PC 1 is interpreted as the gradient of connectivity, used in the rest of the study



3.3 Trait selection

<u>Traits :</u> Dispersal :Aquatic / Aerial Respiration: Aerial / with gills Locomotion: surface swimmer / open water swimmer / crawler Feeding habit: Predator

At week 2, aerial respiration and surface swimming are advantageous traits in the less connected sites. More connected sites are colonized primarily by aquatic dispersers and species using gills to breathe. A similar pattern is found at week 8

The fish treatment was not found to have significant effect on the traits we investigated.

Dispersal mode affects colonization patterns with active aerial dispersers better able to colonize isolated sites. And the difference in DO among connected and less connected sites appears to be the most important factor constraining community assembly.

Trait selection at we



3.2 Community composition

At week 2 The gradient of connectivity constrains community composition At week 8 The gradient of connectivity remains the main environmental constraint on community composition but the effect of primary productivity has increased.

No effect of fish density on community composition were found



3.4 Assembly rules

At week 2, the less connected sites are functionally over-dispersed compared to the null expectation. This suggests that increased competition in the less connected sites limits niche similarity within each community. At week 8, functional diversity is no different from the random

expectation. No effect of fish density on functional diversity was found.



4. Conclusion

- Hydrological connectivity affects primary productivity and constrains invertebrate community assembly through indirect biotic processes (functional over-dispersion). Fish density was not found to have a significant effect on community composition neither functional diversity (in a separate analysis, fish presence was found to homogenize
- community compositions across environmental gradients).
- Based on these results, preserving habitats with various levels of hydrological connectivity is key to conserving biodiversity and ecosystem resilience
- Both the flooding regime and the low flow conditions have to be adapted to preserve habitat diversity and hydrological connectivity at the floodplain scale.





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Evolution of a gravel-bed river subject to SBT operations

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(b)

(c)

TTR of longitude of the

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Introduction

Sediment Bypass Tunnels (SBTs) (Fig. 1(a)) have been proven to been an effective countermeasure to reservoir sedimentation (Sumi et al., 2004), but their morphological effects on the downstream reach are still poorly investigated. During flood events, they divert sediment from upstream to downstream around or through the dam (Fig. 1(b)). Therefore, the downstream reach is subject to repeated releases of water and sediment in form of hydrographs (Q_w) and sedimentographs (Q_b) (Fig.1(c)). The overarching goal of this work is to quantify the morphological changes in terms of riverbed slope and grain size distribution (GSD) Fig. 1: (a) Solis SBT(Canton Grisons, Switzerinduced by realistic SBT operations.

Conceptual framework for SBT-release scenarios

 $Q_{b,m}$

aggradation body

SBT

To properly work, a SBT must have a higher sediment transport capacity than the river flowing in the reservoir. Therefore, given the slope and the GSD of the upstream river reach, the relationship between the water \boldsymbol{Q}_{w} and the bed- $^{Q_{b,M,SBT}}$ load discharge Q_b (bedload rating curve, BRC) can be calculated for the upstream river reach (BRC_u) and the SBT (BRC_{SBT}) (solid red and blue lines in Fig. 2).



land) in operation, (b) sketch of SBT-dam system, (c) 1D numerical study setup.

SBTs are usually designed according to a given water discharge value Q_{w.d.SBT}. Then, we identify four possible SBT release scenarios (see Fig. 2):

of numerical runs.

- scenario I (no SBT operation): the SBT is not operated, sediments are stored in the reservoir and water might be conveyed through the dam;
- scenario II (design range): sediment coming from upstream is diverted downstream by the SBT;
- <u>scenario III</u> (large floods): Q_w flowing through the SBT is Q_{w,d,SBT} and the surplus (Q_w>Q_{w,d,SBT}) can be either stored in the reservoir or conveyed through dam outlets; Q_b is smaller or equal to maximum Q_{b,M,SBT} that can be carried by the SBT releases;
- <u>scenario IV</u> (very large floods): $Q_b = Q_{b,M,SBT}$ and extra water ($Q_w > Q_{w,M}$, where $Q_{w,M}$ is the Q_w needed for carrying $Q_{b,M,SBT}$ in the upstream reach) is released from the dam.

OC1 and OC2 refer to two different Operational Conditions, namely:

- OC1: the bypassing efficiency of the SBT e_{SBT} = 1.0, i.e. all sediments from the upstream reach enter the SBT and are conveyed downstream;
- OC2: the coarsest part (i.e. coarser than fine pebbles) of the sediments from upstream is mined before entering the SBT.

Methods

To quantify the downstream changes in riverbed slope and GSD, we run 1D numerical simulations with BASEMENT (www.basement.ethz.ch). The model describe the hydro-dynamics by the Saint-Venant equations. Friction exerted



by flow over a cohesionless bottom composed of mixed sediment induces sediment transport, which is assumed to occur only as bedload. The GSD of the riverbed surface and the development of size stratification are described by using the active-layer approach of Hirano (Hirano 1971, 1972).





Numerical model setup

The specific quantification of the inputs to the numerical runs takes as a reference the reach of the Albula River downstream of the Solis Dam and the Solis SBT (Canton Grisons, Switzerland). The cross-sectional geometry has been simplified to a rectangular channel with a length of 10 km and a constant width of 15 m. We discretize the channel with 100 cross-sections, 100 m apart from one another. Q_w and Q_h are fed at the upstream end of the domain in form of repeated trapezoidal hydrographs and sedimentographs varying sympathetically in time as represented in Fig. 1(c). Each release lasts 12 hours and Q_w and Q_b reach the peak after one hour from the beginning. A quantification of the peak-magnitudes under both OCs is given in Table 1 (values relative to OC1 refer to numbered symbols of Fig. 2).

	run	1	2	3	4	5	6	7	8	9	10	11	12
Q _w [m ³ /s]													
Q _b [m³/s]	0C1	0	0.23	0.55	1.06	1.49	1.92	1.49	1.92	1.49	1.92	1.92	1.92
Q _b [m ⁻ /s]	OC2	0	0.07	0.17	0.33	0.46	0.6	0.46	0.6	0.46	0.6	0.6	0.6
Table 1: Summary of input O and O for numerical simulations under different OCs													

1: Summary of input Q_w and Q_h for numerical simulations under diffe

Results

equilibrium thousands of operations) are given in Fig. 3 and are prein Fig. 3 and are pre-sented in terms of a $\frac{5}{60,00}$ non-dimensional riverbed slope S^* and mean geometric size d_g^* . The reference values S_{ref} and $d_{a,f}$ are relative to the upstream reach and to the feeding, respectively. We



chose these references to evaluate the effectiveness of SBTs as a mean for river restoration, i.e. their efficacy in restoring almost natural water and sediment fluxes. Results at mobile-bed equilibrium show that:

- For a given Q_b, the more water is released the lower the resulting equilibrium slope will be (dashed blue lines in Fig.3);
- 2) if the feeding is deprived of its coarsest part then S<S_{ref}
- 3) the riverbed tends to unarmored conditions, i.e. $d_a^* < 2$ under almost all circumstances.

On a shorter time-scale, i.e. after 50 SBT-operations, results show that: 1) the riverbed GSD is already close to the equilibrium after a few SBT operations under OC1;

- 2) under OC2, the rework of the riverbed takes more time since the initial conditions are more apart from the equilibrium than under OC1;
- 3) both under OC1 and OC2 the riverbed level approaches the equilibrium configuration at the same pace, which is much slower than the one relative to the riverbed GSD.

Conclusions

SBTs operated with $e_{SBT} = 1$ are able to increase the downstream riverbed slope and reduce the armoring degree of the riverbed surface, while they are causing erosion in the domain if they transport only fines. However, the equilibrium GSDs under OC2 for each run are the one of a sand-bed river, since the feeding is composed mostly by sand. On a shorter time-scale (i.e. tens of events), the GSD converges to the equilibrium faster than the riverbed level. By re-establishing sediment and water fluxes at dams, SBTs might have the power to increase the riverbed slope and break riverbed armoring.

References

Sumi, T., M. Okano, and Y. Takata (2004), Reservoir sedimentation management with bypass tunnels in Japan, in Ninth International Symposium on River Sedimentation, pp. 1036-1043.

- Hirano, M. (1971), River bed degradation with armoring, Transactions of the Japan Society of Civil Engineers, 3(2), 194-195.
- Hirano, M. (1972), Studies on variation and equilibrium state of a river bed composed of nonuniform material, Transactions of the Japan Society of Civil Engineers, 4, 128-129.

Results at mobile-bed SI SII SIII 2.5 (after SBTs 1.5

1.0

1.5

1.0





→ The tributaries can feed the Borgne (Fig. 5) with macroinvertebrates, but:

Borgne

- As the tributary habitats are extremely different (OM, temperature, turbidity, etc.), it is very difficult for the fauna to colonise
- The constant instability of the channel - purges - modifies the habitat and drift and kills the prospective animals.

4.5 km downstream from the water intake, sediment deposition / erosion can be up to 1 m in 4 months (June – October) (Fig. 6).

Water intakes strongly impact aquatic ecosystems and destroy macroinvertebrate populations during periods of frequent purges.

Discussion and perspectives

In this system, the problem is less the water abstraction, Groundwater recharge rapidly leads to minimum flows greater that the Q347 defined at the intakes. The problem is sediment purges which induce short duration floods with exceptionally high sediment loads, causing substantial erosion and deposition downstream.

Thus introducing a **minimum flow will not be sufficient and perhaps not even needed**. It is now necessary to identify a suitable sediment management regime as an integral part of designing ecologically sustainable flows in abstraction systems.

This is why not only flow manipulation but also sediment management have to be considered.

One suggestion would be to stock sediments upstream the water intake in order to decrease the purges frequency (landscape issues).

Policies should distinguish between dams and water intakes in the water law in order to find a win-win solution instead of the current likely lose-lose solution, as minimum flows in this kind of system will reduce water available for hydropower production and ecology will not be improved as long as sediment load is not considered.

Acknowledgements

Publication

Gabbud C and Lane SN (2016). Ecosystem impacts of Alpine water intakes for hydropower: the challenge of sediment management. *WIREs Water*, 3(1), 41-61.

Main methods are (Fig. 4):

- Fluvial geomorphology, river processes, habitat studies
- Drone imagery and DEM production, hydraulic modelling
- Macroinvertebrate sampling

References

Lane et al. (2016). Sediment export, transient andscape response and catchment-scale connectivity following rapid climate warming and Alpine glacier recession. *Geomorphology*, 210-227.









The question of sediment in river management is rarely considered. However, in the context of high altitude water abstraction, the proximity of plants with glaciers induces a high sediment delivery rate to intakes (Fig. 2a) meaning that flushing can be frequent. Frequent flushing (Fig. 2b) may induce deposition and erosion downstream that drastically modifies the geomorphological conditions that determine stream habitat, which can impact plant and animal communities.

The aim of this study is to address the management of sediment in intake-controlled Alpine streams and to define whether we need sediment flows as well as, even instead of, minimum flows.

2. Study site and Methods

Borgne d'Arolla (Hérens, VS)

- stream fed by a series of both glacial and nival tributaries (Fig. 3)
- regulated by a series of water intakes part of the Grande Dixence scheme
- Sediment trapping and purging







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Trading off energy production from small hydropower with biodiversity conservation

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Hydropower boom threatenes unique freshwater biodiversity

The construction of small hydropower plants is booming. This exacerbates ongoing habitat fragmentation and degradation, further fueling biodiversity loss. A systematic approach for selecting hydropower sites within river networks may help minimize detrimental effects on biodiversity. Key for designing planning tools is knowledge on reach-scale and basin-scale impacts.





What we need to know

Downstream propagation of effects – important for cumulative effects of multiple hydropower plants?

Impacts on algal and invertebrate communities which are important for provisioning of ecosystem services ?

Loss of locally adapted genotypes which would lead to a reduction intraspecific biodiversity?

How do river fragment size and the position of dams within the river network drive genetic diversity and the persistance of species within river networks?

How important are cumulative effects of multiple dams for genetic diversity and the persistance of species within river networks?

Do different fish species respond in similar ways?

How will hydropower production and other anthropogenic stressors interact in affecting habitat availability, organisms and ecosystem functions?

Climate change, causing alterations of discharge and temperature regimes, may further affect organism life-histories and ecosystem functioning.

Spatial planning tools

The position of each hydropower plant within the river basin should therefore be compared with alternative sites based on multiple objectives, such as economic gains and low ecological impacts. In multi-objective optimization, the solutions form the so-called Paretooptimal set where the improvement of one objective can only be achieved at the expense of one or other multiple objectives

Conclusions

Multiple drivers of biodiversity need to be considered and expressed as indicators, e.g.

- % of unique habitats/populations
- Species-specific habitat-size requirements
- Importance of specific river reaches for spawning/rearing

> Interactions with other stressors may modify the habitat template

- → Invaluable for policy makers and resource managers
- Assist stakeholders and decision makers to develop a shared view and negotiate policies

Manuscript under review with Frontiers in Ecology and the Environment





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System modelling for hydro-peaking mitigation

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In cooperation with the CTI SCCER-SoE Annual Conference 2017 SCCER SoE Energy Swiss Competence Centers for Energy Research 1 O veizerische Eidgenossenschaft SWISS COMPETENCE CENTER for ENERGY RESEARCH 3 SUPPLY of ELECTRICITY Unil ion for Techn Modeling macroroughness contribution to riverine ecosystem Amin Niayifar¹ (amin.niayifar@epfl.ch), Paolo Perona², Holly J. Oldroyd³, Stuart N. Lane⁴ and Tom J. Battin¹ Results Motivation Changing the natural flow regime, e.g., due to anthropic uses or climate change, causes an environmental degradation in alpine Four case studies with different stones diameter are considered: pdf(D) [-]

Good understanding of this environmental degradation is of vital importance to minimize such effects

Defining environmental indicators based on macroroughness contribution to riverine ecosystem:

- Creating a wake region where the incoming flow velocity decreases. Fishes minimize energy expenditure by resting in these refuge zones and can easily move to adjacent patches for foraging
- Enhancement of the level of turbulence intensity that results in the increase of reach-scale oxygenation rate

Methodology

streams

A straight river reach of width, w, slope, s, and general bed roughness given by a Manning coefficient, n is considered. The following shows the scheme of the wake and related variables:



Using the Manning-Strickler relationship and also the streamwise and spanwise length scales of the wake proposed by Negretti et al. (2006), the wake area behind a macroroughness can be calculated as:

$$A_{w} = \int_{0}^{L} l(x)dx = \sqrt{\frac{D\sqrt{1 - \frac{4\left(\frac{nQ}{\sqrt{Sw}}\right)^{5}}{D^{2}}\left(\frac{nQ}{\sqrt{Sw}}\right)^{\frac{12}{5}}}{4g^{3}n^{6}}B}}$$

Supposing macroroughnesses with a size density distribution, $p_s(D)$, the density function of the wakes areas can be calculated using the derived distribution approach as follows:

$$p_w(A_w,Q) = p_s(D(A_w,Q)) \left\| \frac{dD(A_w,Q)}{dA_w} \right\|$$

The usable area provided by stones for a given flow rate is thus:

$$UA(Q) = \int_{A_{W1}(Q)}^{A_{W2}(Q)} w_w p_w(w_w, Q) dw_w$$

where this equation can be plotted for varying flowrate conditions to build up the usable area curve.

The **environmental threshold** can be defined as the stream flow rate where the derivative of the usable area curve becomes zero

In a case where all the stones have the same diameter:

$$Q_{threshold} = \frac{s^{0.5} w D^{0.33}}{n}$$

Delta distribution

Uniform distribution

Truncated exponential distribution

Truncated gamma distribution



Large stones have a substantial UA(Q) [m^2] contribution in creating the total wake area in the streams

Environmental threshold at the peak as the usable area decreases significantly

Application of the new model in optimization of a reservoir flow release policies

A simple and robust way of evaluating the environmental friendliness of flow release policies



Ongoing Work

Application to a case study (Aare river in the center of Switzerland) • Characterizing the statistical distribution of stones diameter by

taking orthorectified aerial photographs with drones and analysing them with image processing techniques



Measuring the gas exchange coefficient as a function of the stream blockage ratio

 Using the gas (Argon) tracer technique; releasing a gas into a reach and measuring its loss downstream

References

Niayifar, A., & Perona, P. (2017). Dynamic water allocation policies improve the global efficiency of storage systems. *Advances in Water Resources*, *104*, 55-64.

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Appendix

